

# Analysis of the Calendering of Compressible Fluids

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## Synopsis

Assuming that the compressible behavior of polymeric melt obeys the Spencer-Gilmore equation of state, the effect of melt compressibility on calendering process has been investigated. The compressible model is distinctly different from the incompressible model in three ways. (1) It has substantially lower maximum pressure, (2) the location having maximum pressure moves closer to the nip region; (3) the contact point shifts closer to the nip region.

## INTRODUCTION

Calendering is one of the oldest known polymer processing techniques for producing a sheet or film of uniform thickness. The fundamental analysis of the fluid behavior in calendering was developed by Gaskell<sup>1</sup> and McKelvey<sup>2</sup> for Newtonian and power law fluids. The analysis was extended by Brazinsky et al.<sup>3</sup> and Kiparissides and Vlachopoulos<sup>4</sup>, Alston and Astill<sup>5</sup> studied hyperbolic tangent viscosity model fluids. Chung<sup>6</sup> investigated Bingham plastic fluids. The nonisothermal case was solved by Dobbels and Mewis<sup>7</sup> and Kiparissides and Vlachopoulos.<sup>8</sup> Ehrmann et al.<sup>9</sup> developed a theoretical analysis of calendering with unequal-sized rollers. Recently, Vlachopoulos and Hrymak<sup>10</sup> proposed a slip model which gave improved correlation between the calculated and the measured pressure distribution.

Nearly all analyses published in the literature utilize the simplifying assumption of incompressible fluid behavior. Due to the tremendous pressure which develops in the region between the rolls, the compressibility of the molten polymer becomes significant. Thus, it is essential to examine the consequences of including compressible fluid behavior on this pressure field as a function of the operating variables in the calendering process. Therefore, the objective of this short note is to investigate the relationship between the fluid physical properties and the operational variables.

## MATHEMATICAL APPROACH

The governing equations for the conservation of mass and momentum in calendering have been developed elsewhere<sup>2-4,11,12</sup> using the lubrication approximation.<sup>13</sup> For the rectangular coordinate system of Figure 1 they are

$$Q = 2 \int_0^h \rho u \, dy \quad (1)$$

$$\frac{\partial P}{\partial x} = \frac{\partial}{y} \tau_{xy} \quad (2)$$

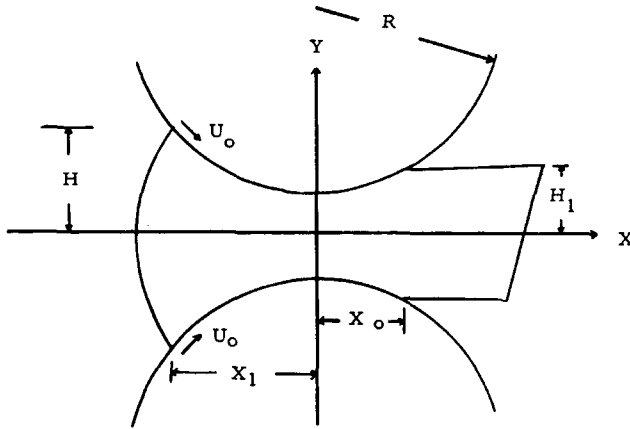


Fig. 1. Notations for the flow analysis in calendaring.

Melt density follows the Spencer-Gilmore equation of state<sup>14</sup>

$$(P + W)(1/\rho - V_0) \approx R_c T \quad (3)$$

and shear stress is considered to obey the power law model

$$\tau_{xy} = m \exp\left(\frac{E}{R'T}\right) \left(\frac{\partial u}{\partial y}\right)^n \quad (4)$$

Here the effect of pressure on the melt viscosity is neglected. This effect might become important if the process pressure is extremely high.<sup>11</sup> For symmetric calendaring, the pressure gradient can be easily obtained by solving the above equations with the boundary condition  $U(h) = U_0$  and by assuming the sheet comes off the roll with the same speed  $U_0$ :

$$\frac{dp^*}{dx^*} = - \left(\frac{2n+1}{n}\right)^n \sqrt{\frac{2R}{H_0}} \frac{(\lambda^2 - x^{*2})|\lambda^2 - x^{*2}|^{n-1}}{(1 + x^{*2})^{2n+1}} \quad (5)$$

where the dimensionless variables  $P^*$ ,  $x^*$ , and  $\lambda$  are defined as

$$P^* = \frac{P}{m} \left(\frac{H_0}{U_0}\right)^n \exp\left(\frac{-E}{R'T}\right) \quad (6)$$

TABLE I  
Material Properties and Molding Conditions

Material	Polyethylene	Polystyrene
$n$	0.594	0.368
$E/R'$ ( $^{\circ}\text{K}$ )	2300	5910
$m$ ( $\text{P}\cdot\text{s}^{n-1}$ )	126.9	0.5
$W$ (psi)	47600	27000
$V_0$ (cc/g)	0.875	0.822
$R_c$ (psi·cc/g· $^{\circ}\text{K}$ )	43	11.6
$T$ ( $^{\circ}\text{K}$ )	420	450
$R$ (cm)	10	10
$U_0$ (cm/s)	30	30

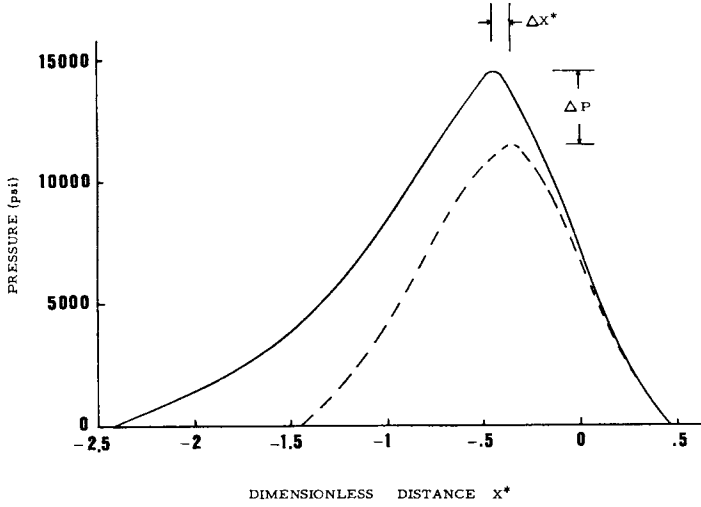


Fig. 2. Typical pressure profiles for polyethylene: (---) compressible model; (—) incompressible model;  $\lambda_0 = 0.45$ .

$$x^* = \frac{x}{\sqrt{2RH_0}} \tag{7}$$

and

$$\lambda^2 = \frac{Q}{2U_0H_0\rho} - 1 \tag{8}$$

Equation (5) is similar to that of the incompressible, non-Newtonian fluid system except that  $\lambda$  is no longer a constant in current studies. The pressure can be obtained by using a fourth-order Runge-Kutta integration method with the

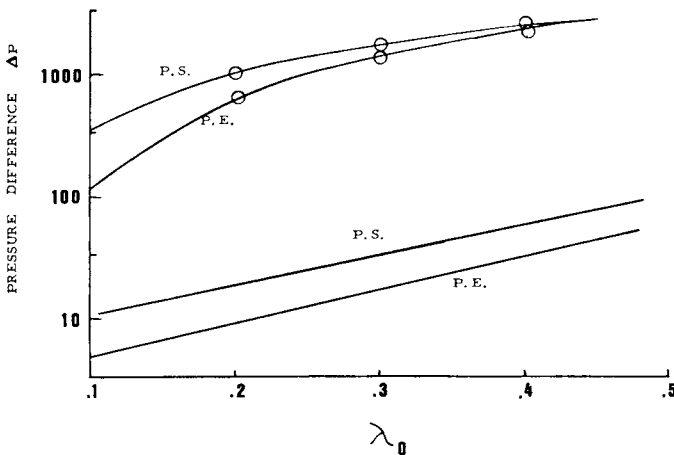


Fig. 3. Pressure difference  $\Delta P$  as a function of  $\lambda_0$ : (—)  $H_0 = 0.01$  cm; (—○—)  $H_0 = 0.001$  cm.

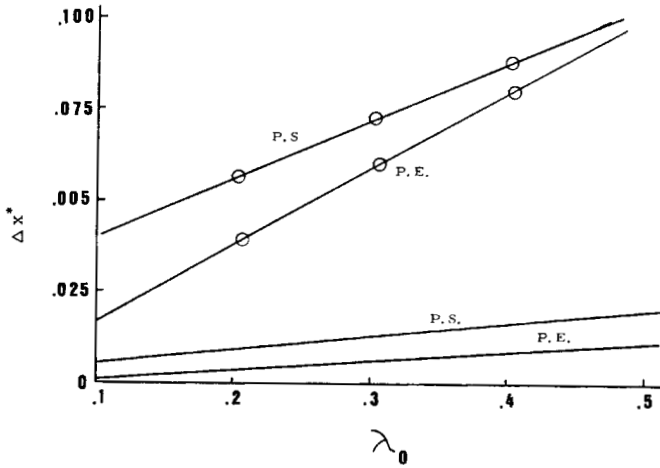


Fig. 4. Shift  $\Delta x^*$  as a function of  $\lambda_0$ : (—)  $H_0 = 0.01$  cm; (—○—)  $H_0 = 0.001$  cm.

following boundary condition:

$$\text{at } x = x_0, \quad P = 0, \quad U = U_0 \tag{9}$$

This boundary condition implies that at  $x = x_0$

$$\lambda^2 = \lambda_0^2 = H_1/H_0 - 1 \tag{10}$$

and

$$X_0 = \lambda_0(2H_0R)^{1/2} \tag{11}$$

These results also apply to incompressible non-Newtonian fluids.

### RESULTS AND DISCUSSION

Two different polymeric resins are used in calculations. Their rheological properties and thermal behavior are given in Table I.<sup>14,15</sup> Polystyrene generally

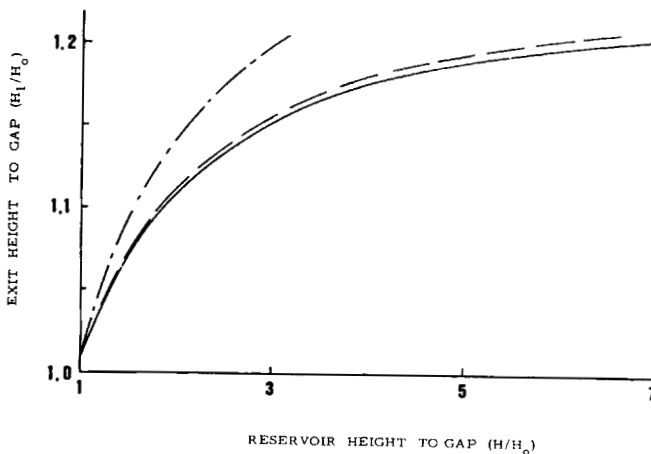


Fig. 5. Exit height ( $H_1/H_0$ ) as a function of upstream reservoir thickness ( $H/H_0$ ) for polyethylene: (—) incompressible model; (- - -) compressible model with  $H_0 = 0.01$  cm; (- · -) with  $H_0 = 0.001$  cm.

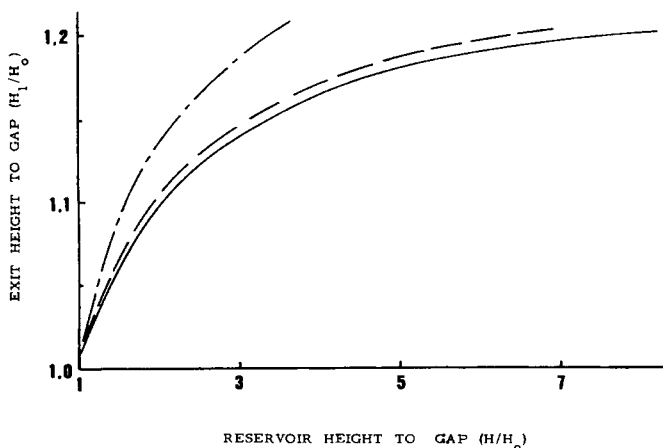


Fig. 6. Exit height ( $H_1/H_0$ ) as a function of upstream reservoir thickness ( $H/H_0$ ) for polystyrene: (—) incompressible model; (---) compressible model with  $H_0 = 0.01$  cm; (-·-) with  $H_0 = 0.001$  cm.

has higher melt viscosity than polyethylene, while it is less compressible than polyethylene at very high pressure. Typical pressure profiles for polyethylene compressible and incompressible models are shown in Figure 2. The compressible model has three distinct differences from the incompressible case: (1) It has substantially lower maximum pressure, (2) the location having maximum pressure moves closer to the nip region, and (3) the contact point  $X_1$  shifts closer to the nip region.

Figures 3 and 4 give the amount of pressure difference  $\Delta P$  and location movement  $\Delta X^*$ , respectively. In general, polystyrene has a higher value of  $\Delta P$  and  $\Delta X^*$  than polyethylene when the gap between the rolls are big. However, this phenomenon tends to reverse when the gap is reduced. In other words, the pressure developed between the rolls is low if the gap is large. Polystyrene has a higher viscosity so that it develops a much larger pressure field than polyethylene. Therefore, the effect of polystyrene compressible behavior is more pronounced than that of polyethylene. However, an extremely large pressure develops in the nip region when the gap is small and melt density deviates from the incompressible case. The greater the polymer compressibility, the larger the difference in  $\Delta P$  and  $\Delta X^*$ .

In Figures 5 and 6,  $H_1/H_0$  (exit height to gap height) is plotted against  $H/H_0$  (reservoir height to gap height) for polyethylene and polystyrene fluids, respectively. When the ratio  $H/H_0$  is kept constant, the calendering of both compressible fluids produces higher values of  $H_1/H_0$  than those calculated by assuming incompressible fluids. This phenomenon is enhanced in the case of small gap calendering.

The above analysis clearly indicates that material compressibility strongly influences the operational variables and final products.

In addition, in agreement with previous experimental work on PVC,<sup>10</sup> the reduction in the magnitude of maximum pressure  $P$  and the movement of the contact point to the nip region,  $\Delta X^*$ , have been observed. Perhaps due to experimental difficulty and inaccuracy, the shift of the location having maximum pressure has not been reported. Vlachopoulos and Hrymak<sup>10</sup> proposed a slip boundary condition in order to explain this observed difference between the

predicted and the measured values. However, the present work suggests that these differences may also be partly due to the neglect of the effect of polymer compressible behavior.

### APPENDIX: NOMENCLATURE

$E$	flow activation energy
$h$	$H_0(1 + X^2/2H_0R)$ , circumference of roll near the nip region
$H$	reservoir height defined in Figure 1
$H_0$	one-half of minimum gap width
$H_1$	exit height defined in Figure 1
$m$	constant defined in eq. (4)
$n$	power law index
$P$	pressure
$Q$	mass flow rate
$R$	radius of roll
$R'$	gas constant
$R_c$	constant in eq. (3)
$T$	temperature
$U$	velocity
$U_0$	roll speed
$V_0$	constant in eq. (3)
$W$	constant in eq. (3)
$X_1$	contact point
$X_0$	leave-off point
$\rho$	density
$\tau$	shear stress

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Received September 13, 1982

Accepted January 11, 1983